

Beyond scientific impact

Evolving frameworks and novel methods in scientometrics

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Scientometrics and research evaluation

- Three common misperceptions:
 - Scientometrics is publication statistics (science administration's view)
 - Scientometrics is exclusively concerned with the measurement of scientific performance (researcher's view)
 - Scientometrics is a form of research evaluation (policy maker's view)



Scientometrics and research evaluation





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Paradigm change?

"Is there currently a scientific revolution in scientometrics?"
 Lutz Bornmann, forthcoming in JASIST

"One of the key terms in scientometrics is **scientific impact** which nowadays is understood to mean not only the impact on science but the impact on every area of society"



Running examples of addressing broad impact



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SISOB: An Observatorium for Science in Society based in Social Models



Running examples of addressing broad impact









Measuring "social" dimensions of scientific impact

- Known unavioidable difficulties in evaluation practice:
 - Causality problem (scientific developments as causal factors)
 - Attribution problem (the role of knowledge production in "complex systems")
 - Time-scale problem (long-term vs. short-term outcomes)
 Ben Martin, SPRU



Direct scientometric approach

- Impact: direct quantification of knowlege flows between withinscience and outside-science venues of societal importance
 - Patent references to publications (economic impact), patent citation network analysis
 - Collaboration of academic and private sector → InCitesTM: % of industry co-authorship (economic impact)
 - Clinical guidelines based on medical research results (social impact: life quality)
 - Policy document and legislation referring to social science research and results (SSH impact on policy making) → IMPACT-EV baselines
 - Research affecting the public discourse, on-line social venues, Webometrics, ALTMETRICS → SISOB "Knowledge Sharing" (societal impact)



Direct scientometric approach

- Problems with direct approaches:
 - Narrow operationalization of impact (only direct relations)
 - Use of scientific knowledge is not a priori imact: criticism of patent citation analysis (role of citations is not knowledge utilization, economic value is not attributed to patenting, tc)
 - Data is sporadic (direct references to scholarly venues, e.g. press releases) and noisy (ALTMETRICS, on-line venues)
 - Further step: reconceptualizing the measurement of broad impact...



Towards structural approaches

 SISOB and societal impact, "P-map", "S-map" algorithm: Contrasting the scientific and social "relevance" of research subjects (based on the work of Leydesdorff)





Structural approach: S&T mapping

- Mapping and measuring the socio-cognitive organization, processes and dynamics in science by analyzing various dimensions of knowledge flow
- Broad impact can be identified, even quantified
- Example: IDR (interdisciplinarity research) and its applications: The overlay technique (Rafols—Leydesdorff)





Structural approach: S&T mapping

- Measuring multi- and interdisciplinarity (IDR) upon this model: the Stirling index
- Novelty: Three structural features accounted for:
 - Number of SCs ("variety")
 - Distribution of pubs over SCs ("balance")

 Table 1 Typology of the Stirling index in measuring research diversity

	Formula (versions of the generalized Stirling index)	d_{ij}	Underlying science map (level of aggregation)	Measuring diversity of
1	$\sum_{ij(i\neq j)} d_{ij} p_i p_j$	$1 - s_{ij}$, where sij=cos(i,j)	Similarity network of (1) journals (2) ISI Subject Categories (based on the cited and citing dimension) Rafols, Meyer, Porter, Leydesdorff	 (1) journals, (2) work of researchers, (3) output of organizations
2	$\sum_{ij(i\neq j)} d_{ij}$	g _{ij} shortest path from i to j (# edges)	Similarity network of papers (based on bibliographic coupling) Rafols, Meyer	particular research area



Structural approach: S&T mapping

- Patent overlay mapping (basemap: global proximity network of technology classes, based on aggregate patent citations)
- Benchmarking firms (Rotolo et al. 2014)
- Measuring trends of diversification/specialization in a technological market
- Evaluation may be a result of such a mapping of output contrasted with policy goals for funding schemes





Structural approach: S&T mapping

- Proposed uses to detect economic/outward impact (under elaboration):
 - Distance within scientific impact profile in terms of applied/applicationoriented and basic research fields (shift toward markets)
 - Funding information (especially for FP outputs): distance within scientific profile in terms of funding agencies (competitiveness of science)



Conclusion

- Broad impact measurement is not necesserily different from measuring scientific impact (i.e. citation analysis)
- Structural methods of citation analysis reveal broad impact outside the realm of science
- Large-scale data is available (not only case study fashion)
- A single value measurement should be replaced by structural insights in scientometrics
- Thank you for your attention!